

CRITICAL ECOSYSTEM
PARTNERSHIP FUND



Social and Environmental Safeguards

CEPF Proposal Development Workshop
4-5 June 2024





Management of Environmental and Social Risks

- CEPF has managed environmental and social risks since inception
- In 2008, CEPF developed an ESMF based on the World Bank safeguard policies
- In 2015, CEPF adopted a gender policy and a best practice on stakeholder engagement
- In 2022, CEPF developed a new ESMF, which complies with the minimum standards used by GCF, GEF and other donors to CEPF

General approach

- *Screen* each project for environmental and social risks
- *Assess* identified risks in greater detail
- *Design* safeguard measures (in proposal or stand-alone plans):
 - *Avoid* impacts wherever possible
 - *Minimize* impacts that cannot be avoided
 - *Mitigate* impacts that cannot be minimized
 - *Offset* any remaining impacts
- *Monitor* compliance with agreed safeguard measures
- *Assist* applicants and grantees to comply with requirements



© A. W. Tordoff

Grantee

**CEPF (for large grants)
RIT (for small grants)**

1. LOI Submission



2. Eligibility screening

3. Risk assessment

4. Provision of guidance

5. Preparation of
E&S plans



6. Grievance redress
mechanism

7. Public consultation



8. Capacity Building

9. Implementation of
mitigation measures



10. Monitoring and
reporting (to CEPF/RIT)



10. Monitoring and
reporting (to donors)



© Kristin Harrison & Jeremy Ginsberg

Safeguard Policies

1. Environmental and Social Assessment
2. Labor and Working Conditions
3. Resource Efficiency and Pollution Prevention
4. Community Health, Safety and Security
5. Restrictions on Land Use and Involuntary Resettlement
6. Biodiversity Conservation and Sustainable Management of Living Natural Resources
7. Indigenous People
8. Cultural Heritage
9. Gender Mainstreaming
10. Stakeholder Engagement

Safeguards Policies Application

- Safeguard Policies that apply to ALL projects:
 - Safeguard Policy 1 (Environmental and Social Assessment)
 - Safeguard Policy 9 (Gender Mainstreaming)
 - Safeguard Policy 10 (Stakeholder Engagement)
- The other safeguard policies apply to some projects but not all.
 - This is determined during the Risk Assessment

Risk Assessment

Each grant is categorized according to its environmental and social risks:

- **Category A.** The project has the potential for significant adverse environmental and/or social impacts that are diverse, irreversible or unprecedented
- **Category B.** The project has the potential for adverse environmental and social impacts that are few, generally site-specific, largely reversible and readily addressed through mitigation measures
- **Category C.** The project is likely to have minimal or no adverse environmental and social impacts



Risk assessment

- **Category A** – full Environmental and Social Impact Assessment (ESIA) required; CEPF will not support such projects other than in exceptional circumstances where there is an over-riding justification
- **Category B** – limited ESIA required; stand-alone safeguard documents required
- **Category C** – no ESIA required; requirements of individual safeguards incorporated into proposal and reporting templates

Safeguard Policy 1: Environmental and Social Assessment

Triggered by	Main Requirements	Safeguard Plan
Activities with potential adverse environmental and social impacts	<ul style="list-style-type: none">• Assessment of environmental and social risks• Avoidance, minimization and mitigation of adverse impacts• Offset/compensation for residual impacts• Consideration of vulnerable groups	Environmental and Social Impact Assessment /Environmental and Social Management Plan

Safeguard Policy 2: Labor and Working Conditions

Triggered by	Main Requirements	Safeguard Plan
<p>Activities with potential impacts on workers health, safety and fundamental rights</p> <p>e.g.</p> <ul style="list-style-type: none"> • Projects that involve tree climbing • Ecotourism lodge construction 	<ul style="list-style-type: none"> • Assessment of risks • Respect for and protection of workers' fundamental rights • Elimination of discrimination • Elimination of Sexual Exploitation, Abuse and Harassment • No support for forced labor, trafficking in persons or child labor 	<p>Labor Management Procedures</p>

Safeguard Policy 3: Resource Efficiency and Pollution Prevention

Triggered by	Main Requirements	Safeguard Plans
<p>Activities with a risk of exposure of local people to hazardous materials</p> <p>e.g.</p> <ul style="list-style-type: none">• Use of synthetic insecticides in agriculture• Use of rodenticides to manage invasive alien species	<ul style="list-style-type: none">• No use of pesticides that are unlawful under national or international law• Prohibition of substances listed under the Stockholm Convention on POPs• Preference for Integrated Pest Management• Avoidance of release of pollutants• Minimization of GHG emissions• Management of project-generated waste• Efficient use of energy, water and other resources	<p>Pest Management Plan <i>and/or</i> Environmental and Social Management Plan</p>

Safeguard Policy 4: Community Health, Safety and Security

Triggered by	Main Requirements	Safeguard Plan
<p>Activities with a risk of adverse impacts on the health, safety and security of women, men, girls and boys</p> <p>e.g.</p> <ul style="list-style-type: none"> • Abuse of power by forest guards • Sexual harassment by project staff • Elevated risk of transmitting COVID-19 	<ul style="list-style-type: none"> • Screen projects for risks • Include mitigation measures in relevant safeguard documents • Consideration of gender equality • Consideration of Gender-Based Violence • Consideration of Sexual Exploitation, Abuse and Harassment 	<p>Community Health and Safety Plan (and Full Ethical Review Form if applicable)</p>

Safeguard Policy 5: Restrictions on Land Use and Involuntary Resettlement

Triggered by	Main Requirements	Safeguard Plan
<p>Physical relocation of people; restrictions on access to natural resources</p> <p>e.g.</p> <ul style="list-style-type: none">• Creation or expansion of protected area• Strengthened enforcement of controls on hunting	<ul style="list-style-type: none">• No land acquisition or physical resettlement of people (voluntary or involuntary)• Compensation for people affected by restrictions on access, except where clearly illegal, unsustainable and destructive• Consideration of vulnerable groups• Timely provision of benefits	<p>Livelihood Restoration and Compensation Plan or Process Framework for Restrictions on Access to Natural Resources</p>

Safeguard Policy 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources

Triggered by	Main Requirements	Safeguard Plan
<p>Activities with potential adverse impacts on natural habitats and their associated biodiversity and ecosystem services</p> <p>e.g.</p> <ul style="list-style-type: none"> • Community forest management • Harvesting of non-timber forest products • Introduction of potentially invasive species 	<ul style="list-style-type: none"> • No significant conversion or degradation of critical natural habitats and forest resources • No introduction of invasive species • Compliance with mitigation hierarchy • Sustainable harvesting of living natural resources • Compliance with ABS frameworks 	<p>Environmental and Social Management Plan</p>

Safeguard Policy 7: Indigenous Peoples

Triggered by	Main Requirements	Safeguard Plan
<p>Activities in areas inhabited or used by Indigenous Peoples (aka "ethnic minority")</p> <p>e.g.</p> <ul style="list-style-type: none"> Project establishing community protected area with Indigenous community 	<ul style="list-style-type: none"> Free Prior and Informed Consent for project activities Culturally appropriate benefits for affected Indigenous Peoples 	<p>Indigenous Peoples Plan or Social Assessment</p>

Safeguard Policy 8: Cultural Heritage

Triggered by	Main Requirements	Safeguard Plan
<p>Activities with potential impacts on cultural resources, both tangible and intangible</p> <p>e.g.</p> <ul style="list-style-type: none">• Activities within cultural World Heritage Sites• Construction with potential for “chance finds”	<ul style="list-style-type: none">• No removal, alteration or disturbance of any tangible or intangible cultural heritage• Meaningful consultation with stakeholders• Continued access for stakeholders• Special rules for chance finds	<p>Cultural Heritage Plan</p>

Safeguard Policy 9: Gender Mainstreaming



Triggered by	Main Requirements	Safeguard Plan
<p>Activities with risks of exacerbating existing gender-related inequalities</p> <p>e.g.</p> <ul style="list-style-type: none">• Restrictions on access to natural resources used predominantly by women	<ul style="list-style-type: none">• Provide equal opportunities for women and men to actively participate in and benefit from project activities• Gender risk and impact assessment• Gender-responsive project design and implementation, including, at minimum, gender-sensitive indicators and sex-disaggregated targets	<p>Gender Mainstreaming Plan</p>

Safeguard Policy 10: Stakeholder Engagement

Triggered by	Main Requirements	Safeguard Plan
All projects	<ul style="list-style-type: none">• Engagement of stakeholders throughout project cycle• Consideration of vulnerable groups• Establishment of project-level grievance mechanisms• Disclosure to local stakeholders	Stakeholder Engagement Plan

Grievance Mechanism

- You must inform community members and other stakeholders of the objectives of the project, the relevant provisions of the applicable safeguard policies, and the existence of a grievance mechanism
- You must make available contact information of your organization, the RIT and CEPF using locally appropriate means (e.g., posters, community meetings, radio broadcasts, articles in print media)
- You must treat all reported grievances confidentially, with no retribution to the person(s) making the complaint

Stage	Steps
GRM establishment 	<ol style="list-style-type: none"> 1. Inform stakeholders of the objectives of the project, the requirements of applicable safeguard policies and the existence of a grievance redress mechanism 2. Provide contact details of grantee, RIT and the CI Ethics Hotline
Grievance level 1 	<ol style="list-style-type: none"> 1. Complainant submits grievance to the grantee (or RIT) 2. Grantee develops plan to address grievance 3. Grantee communicates grievance and proposed plan to CEPF/RIT (within 15 days) 4. With approval from CEPF/RIT, grantee implements plan
Grievance level 2	<ol style="list-style-type: none"> 1. If the complainant not satisfied, submits grievance to CEPF Executive Director via the CI Ethics Hotline 2. CEPF Secretariat develops plan to address grievance, in consultation with grantee and RIT 3. CEPF Secretariat implements plan



Grievance complaint box in Vietnam by CEPF grantee People Resources and Conservation Foundation.

© Uong Sy Hung (PRCF)



© A. W. Tordoff

ສະម្រេចជីវិតស្តារក្រោយជីវិតស្តារក្រោយ

ការផ្សព្វផ្សាយពីសម្រេចជីវិតស្តារក្រោយ

ការផ្សព្វផ្សាយពីសម្រេចជីវិតស្តារក្រោយនៅ
តាមភូមិគោលដៅទំនួរ ៦ភូមិ ដែលមានភូមិភាគ ភូមិស្រែស្រែ
ភូមិភ្នំ ភូមិស្រែស្រែ ភូមិស្រែស្រែ ភូមិភាគ និងភូមិកែងសាង។
ការផ្សព្វផ្សាយត្រូវបានធ្វើឡើងដើម្បីស្វែងរកសមតិ ការយល់ឃើញ
និងការគាំទ្រពីប្រជាពលរដ្ឋមូលដ្ឋាន។



ការជីកស្តារក្រោយ

ក្រោយពីទំនួរ ១០ ត្រូវបានជីកស្តារក្រោយជីវិតស្តារក្រោយ
ត្រូវបានជីកស្តារក្រោយជីវិតស្តារក្រោយ រហូតដល់ ខែមេសា ឆ្នាំ២០២២។
ការជីកស្តារត្រូវបានធ្វើចំពោះក្រោយជីវិតស្តារក្រោយ និងមានការប្រើ
ប្រាស់គ្រឿងបរិស្ថានស្រស់ស្អាត។ ការជីកស្តារត្រូវបានធ្វើឡើងដោយដៃ
ការគ្រឿងបរិស្ថានស្រស់ស្អាតនេះគឺដើម្បីធានាប្រជាពលរដ្ឋដែល
ចូលរួមជីកទទួលបានផលិតផល និងផ្តល់ការគាំទ្រដល់
ជាមួយការងារអភិរក្ស។



សារៈសំខាន់របស់ក្រោយ

ក្រោយជីវិតស្តារក្រោយជីវិតស្តារក្រោយដើម្បីប្រាស់សំខាន់របស់សត្វព្រៃជាច្រើនប្រភេទដែលរស់នៅក្នុងក្រោយជីវិតស្តារ
ក្នុងនោះក៏មានសត្វស្តារក្រោយជីវិតស្តារក្រោយ និងគ្រឿងបរិស្ថានស្រស់ស្អាត។ ក្រោយជីវិតស្តារក្រោយជីវិតស្តារក្រោយ
ប្រាស់ដោយសត្វព្រៃស្រស់ស្អាត និងប្រជាពលរដ្ឋទាំងអស់។ បន្ទាប់ពីជីកស្តារក្រោយ ក្រោយជីវិតស្តារក្រោយជីវិតស្តារក្រោយ
សម្រាប់សត្វព្រៃប្រាស់នៅក្នុងក្រោយជីវិតស្តារក្រោយ។



CRITICAL ECOSYSTEM PARTNERSHIP FUND
សូមទាក់ទងព័ត៌មានបន្ថែមទៀតទៅលើគេហទំព័រ
សំបុត្រស្តារក្រោយជីវិតស្តារក្រោយ: 1
097 95 11 925 ឬ 097 522 96 76

RWANDA WILDLIFE CONSERVATION ASSOCIATION
Rwanda Wildlife Conservation Association
P.O. Box 1000 Kigali, Rwanda
Tel: +250 78 826 1221

Igishanga cya Rugezi ni indiri y'urusobe rw'ibinyabuzima nk'imisambi. Akaba arinayompamvu umuntu wese agomha kugira uruhare mu kukihungabunga.

Rwanda Wildlife Conservation Association (RWCA) ni Umuryango Nyarwanda wita ku nyamaswa zo mu gasozi ndetse n'indiri kamere y'izo nyamaswa.

RWCA ifatmya n' akarete ka Burera na Gicumbi ndetse n'abandi fatatanyabikorwa benshi mu kubungabunga Imisambi ndetse n'igishanga cya Rugezi.

Hifashishijwe inkunga ya Critical Ecosystem Partnership Fund (CEPF), RWCA yashyize imbaraga mu kongerera ubushobozi aharinzi b'igishanga cya Rugezi kugirango dukomeze kuhungabunga imisambi ndetse n'urundi rusobe rw'ibinyabuzima muri iki gishanga.

Haramutse hari ikibazo ugize kuri uyu mushinga watumenyesha ku buryo bukurikira:

Antoinette Niyonambaza, President of Ranger's Co-operative Tel: +250782769121

Jean Ferus Niyomungeri, RWCA Community Conservation Officer, niyoferus@gmail.com, Tel: +2500788261221

Dr. Olivier Nengimana, RWCA Executive Director, contact@rwandawildlife.org

CEPF Regional Implementation Team. Maaikemanten@birdlife.org.
Tel: +250784983854

CEPF Executive Director: cepffexecutive@conservation.org

World Bank Rwanda: rwandaalert@worldbank.org . Tel: +250 591-3300

BAZAZ

1	RUHUN
2	RUHUN
3	RUHUN
4	RUHUN
5	RUHUN
6	RUHUN
7	RUHUN
8	RUHUN
9	RUHUN
10	RUHUN
11	RUHUN
12	RUHUN
13	RUHUN
14	RUHUN
15	RUHUN
16	RUHUN
1	RUHUN
2	RUHUN
3	RUHUN
4	RUHUN
5	RUHUN
6	RUHUN
7	RUHUN
8	RUHUN
9	RUHUN
10	RUHUN
11	RUHUN

QUESTIONS



© A. W. Tordoff